Investment Application for Individuals

STEP 1: Understanding your investment

Before you invest:

- Read the ***Collective Investment Schemes - Information document*** to ensure you understand the benefits and terms associated with your investment (you will need to acknowledge that you have read and understood this document when completing your application)
- Read the ***Portfolio Annexure*** which contains important information related to the Portfolio selection available to you.

It is important to note that because the Administrator does not provide financial advice, you are able to appoint an approved and licensed Financial Advisor (provided they have a contract with us), should you require guidance with your Portfolio selection.

STEP 2: Complete your application

Please complete all fields to avoid delays in processing your investment.

STEP 3: Process payment

Please deposit into the applicable currency bank details located on the back of the application form.

STEP 4: Send us your documents

Please include:

- Completed application for individuals
- A copy of an Identity document, valid passport, or birth certificate (if minor), for the Investor and authorised representative (where applicable)
- Proof of address (not older than 3 months), for the Investor and authorised representative (where applicable). Please refer to our ***Acceptable Forms of Verification document*** for further information
- Proof of your bank details (cancelled cheque, bank statement, letter from the bank etc.), not older than 3 months
- Proof of tax registration (required for all investors including minors)
- Proof of payment of your investment contribution
- ***CRS and FATCA Entity and/or Individual Self-certification Form*** (where applicable).

STEP 5: Look forward to your Investment Confirmation

- Please take note of the processing timelines pertaining to your investment instruction/s as set out in the ***Collective Investment Schemes - Information document***
- Please also be aware that we will only be able to process your investment once all related investment documents have been received and your investment reflects in the trust bank account
- You will receive a confirmation from us once we have received a complete set of investment documentation, as well as an investment confirmation once your contribution has been invested.

PIM Capital Management ("the Manager") *registered in Mauritius with the Financial Services Commission in terms of the Securities Act of 2005*

Clermont Consultants (MU) Limited *is the Administrator of your investment*

PIM CAPITAL

7 Uniciti Office Park
Blackriver Road, Bambous
Mauritius

+230 452 9197
+230 452 9051
PIM.Dealing@pimcapital.je

COLLECTIVE INVESTMENT SCHEMES

1. Investor Details

Please provide us with your personal details/details of the Investor (if applying on behalf of someone else).

Title ______ First Names ______

Surname ______ Date of Birth D D M M Y Y Y Y

ID or Passport Number ______

Physical Address ______

Code ______

Postal Address ______

Code ______

Tel (Home) ______ Tel (Mobile) ______

Tel (Work) ______ Fax ______

Email ______

Investors preferred means of communication Email ☐

- Please note that income tax numbers are required for all Investors (irrelevant of age and / or occupation).
- Please complete the CRS and FATCA Individual Self-Certification Form and submit to the Administrator with your investment application documents.

2. Details of Person Acting on Behalf of the Investor

Please provide full details of the person who is authorised to act on behalf of the Investor together with proof of the authority/appointment.

Capacity in which the person is authorised:

Curator ☐ Guardian ☐ Executor of Estate ☐ Power of Attorney ☐ Mandated Advisor ☐

Title ______ First Names ______

Surname ______

ID or Passport Number ______

Physical Address ______

Code ______

Tel (Home) ______ Tel (Mobile) ______

Tel (Work) ______ Fax ______

Email ______

3. Investment Details

Minimum Investment Amounts

Lump Sum Amounts: **$5 000** in total across all Portfolios

Additional Lump Sum Amounts: **$1 000**

Please confirm how you will be making payment   Electronic Funds Transfer (Internet) ☐   Cheque Deposit ☐

Source of Funds   Savings ☐   Bonus ☐   Inheritance ☐   Salary ☐   Other ☐

If other, please provide further details

Your investment may earn income distributions (interest and/or dividends). We will automatically reinvest income distributions earned on your investment.

Total Investment Amount

Portfolio Name	Class	Lump Sum Amount
Laurium Global Active Equity Fund		
Total		

4. Investor's Banking Details

Please attach proof of banking details when submitting this application.

Account Name

Account No.   Bank

Branch   Branch Code

Type of Account   Current ☐   Savings ☐   Transmission ☐

SWIFT Code   IBAN No.

Correspondent Bank   Correspondent Bank SWIFT Code

5. Fees

(a) Initial Fees (Excl. Vat) – These fees are deducted before the investment into your selected portfolios.

Financial Advisor Fee: Lump Sum Investments   %

(b) Annual Fees (Excl. Vat) – These fees are deducted monthly, proportionately from your investment balance by selling units.

Financial Advisor Fee   %

6. Financial Advisor Details

Please only complete the section below if you have appointed a Financial Advisor?

Advisor Name

Advisor Code

Tel (Mobile) | Tel (Work)

Tel (Fax)

Email

Declaration to be completed by the Financial Advisor

1. I declare that all the information contained in this application was obtained from the Investor and was completed in his/her presence.
2. I hereby confirm that I am appropriately and timeously registered to act as the Investor's Financial Advisor on record.
3. I warrant that I have either established and verified the identity of all Investors in accordance with the Financial Intelligence and Anti-Money Laundering Act, or that in terms of my rules and procedures ordinarily applied in the course of establishing business relationships or concluding single transactions, I will have established the identity of every Investor on whose behalf I will be establishing business relationships or conducting single transactions with the Administrator.
4. I authorise the Administrator to accept instructions by facsimile or e-mail and hereby waive any claim that I may have against the Administrator and indemnify the Administrator against any loss incurred as a result of the Administrator receiving and/or acting upon such communication. The Administrator will not be held responsible for any failure, malfunction or delay of any networks or electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of application and/or transactions. The Administrator will not be liable to make good or compensate any Investor or third party for any damages (whether direct or consequential), losses, claims or expenses resulting there from. The Investor or any third party indemnifies the Administrator accordingly.

Signature of Financial Advisor

D D M M Y Y Y Y

7. Investor/Authorised Party Declarations

1. I/We have read, understand and agree to be bound by the provisions of this application, Information Document, Portfolio Annexure(s) and Minimum Disclosure Document(s).
2. You understand the purposes for which your personal information is required and for which it will be used and you expressly and voluntary consent to the use of your personal information and you give us permission to process your personal information as detailed further in the Information Document.

Signed at (Place)

D D M M Y Y Y Y

Signature of Investor

Full name of Authorised Representative

Signature of Authorised Representative

Banking Details

Please deposit into the applicable currency bank:

PIM Capital Ltd Bank Account Details - USD

Beneficiary Bank:	AfrAsia Bank Ltd Bowen Square ,10, Dr Ferriere Street , Port Louis, Mauritius
SWIFT BIC (Bank Identifier Code):	AFBLMUMU
Account Owner:	PIM Capital Ltd PCC - Cash Account
Account Number:	092499000000015
IBAN:	MU59AFBL25010924990000 00015USD

Correspondent Bank:	CITIBANK N.A New York
Correspondent Bank Swift:	CITIUS33

PIM Capital Ltd Bank Account Details - GBP

Beneficiary Bank:	AfrAsia Bank Ltd Bowen Square ,10, Dr Ferriere Street , Port Louis, Mauritius
SWIFT BIC (Bank Identifier Code):	AFBLMUMU
Account Owner:	PIM Capital Ltd PCC - Cash Account
Account Number:	092499000000037
IBAN:	MU19AFBL25010924990000 00037GBP

Correspondent Bank:	CITIBANK, London
Correspondent Bank Swift:	CITIGB2L

PIM Capital Ltd Bank Account Details - EUR

Beneficiary Bank:	AfrAsia Bank Ltd Bowen Square ,10, Dr Ferriere Street , Port Louis, Mauritius
SWIFT BIC (Bank Identifier Code):	AFBLMUMU
Account Owner:	PIM Capital Ltd PCC - Cash Account
Account Number:	092499000000026
IBAN:	MU44AFBL2501092499000 00026EUR

Correspondent Bank:	CITIBANK, London
Correspondent Bank Swift:	CITIGB2L